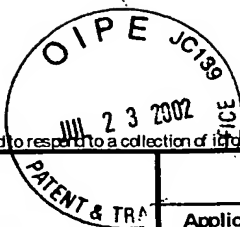


→ ☐



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/064,466     |
| Filing Date            | 07/17/2002     |
| First Named Inventor   | Chia-Tsung Kao |
| Group Art Unit         |                |
| Examiner Name          |                |
| Attorney Docket Number | AC:SP0005 USA  |

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter

code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial

number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



0420

PTO/SB/21 (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |                        |             |
|--|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/064,466             |             |
|  | Filing Date          | 07/17/2002             |             |
|  | First Named Inventor | Chia-Tsung Kao         |             |
|  | Group Art Unit       |                        |             |
|  | Examiner Name        |                        |             |
| Total Number of Pages in This Submission   | 68                   | Attorney Docket Number | ACSP0005USA |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                    |
|--|--------------------|
| Firm or Individual name                    | WINSTON HSU        |
| Signature                                  | <i>Winston Hsu</i> |
| Date                                       | 7/18/2002          |

| CERTIFICATE OF MAILING   |  |      |  |
|--|--|------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> |  |      |  |
| Typed or printed name  |  |      |  |
| Signature  |  | Date |  |

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PTO/SB/17 (10-01)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 10/064,466     |
| Filing Date          | 07/17/2002     |
| First Named Inventor | Chia-Tsung Kao |
| Examiner Name        |                |
| Group Art Unit       |                |
| Attorney Docket No.  | ACSP0005USA    |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0801  
Deposit Account Name: North America International Patent Office

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

|     | Large Entity | Small Entity | Fee Description        | Fee Paid |
|-----|--------------|--------------|------------------------|----------|
|     | Code (\$)    | Code (\$)    |                        |          |
| 101 | 740          | 201 370      | Utility filing fee     |          |
| 106 | 330          | 206 165      | Design filing fee      |          |
| 107 | 510          | 207 255      | Plant filing fee       |          |
| 108 | 740          | 208 370      | Reissue filing fee     |          |
| 114 | 160          | 214 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

|     | Large Entity | Small Entity | Fee Description  | Fee Paid |
|-----|--------------|--------------|--|----------|
|     | Code (\$)    | Code (\$)    |  |          |
| 103 | 18           | 203 9        | Claims in excess of 20                                     |          |
| 102 | 84           | 202 42       | Independent claims in excess of 3                          |          |
| 104 | 280          | 204 140      | Multiple dependent claim, if not paid                      |          |
| 109 | 84           | 209 42       | ** Reissue independent claims over original patent         |          |
| 110 | 18           | 210 9        | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

|     | Large Entity | Small Entity | Fee Description  | Fee Paid |
|-----|--------------|--------------|--|----------|
|     | Code (\$)    | Code (\$)    |  |          |
| 105 | 130          | 205 65       | Surcharge - late filing fee or oath  |          |
| 127 | 50           | 227 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 | 130          | 139 130      | Non-English specification  |          |
| 147 | 2,520        | 147 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 | 920*         | 112 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113 | 1,840*       | 113 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115 | 110          | 215 55       | Extension for reply within first month                                     |          |
| 116 | 400          | 216 200      | Extension for reply within second month                                    |          |
| 117 | 920          | 217 460      | Extension for reply within third month                                     |          |
| 118 | 1,440        | 218 720      | Extension for reply within fourth month                                    |          |
| 128 | 1,960        | 228 980      | Extension for reply within fifth month                                     |          |
| 119 | 320          | 219 160      | Notice of Appeal   |          |
| 120 | 320          | 220 160      | Filing a brief in support of an appeal                                     |          |
| 121 | 280          | 221 140      | Request for oral hearing   |          |
| 138 | 1,510        | 138 1,510    | Petition to institute a public use proceeding                              |          |
| 140 | 110          | 240 55       | Petition to revive - unavoidable   |          |
| 141 | 1,280        | 241 640      | Petition to revive - unintentional   |          |
| 142 | 1,280        | 242 640      | Utility issue fee (or reissue)   |          |
| 143 | 460          | 243 230      | Design issue fee   |          |
| 144 | 620          | 244 310      | Plant issue fee  |          |
| 122 | 130          | 122 130      | Petitions to the Commissioner  |          |
| 123 | 50           | 123 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126 | 180          | 126 180      | Submission of Information Disclosure Stmt                                  |          |
| 581 | 40           | 581 40       | Recording each patent assignment per property (times number of properties) |          |
| 146 | 740          | 246 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 | 740          | 249 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 | 740          | 279 370      | Request for Continued Examination (RCE)                                    |          |
| 169 | 900          | 169 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

## SUBMITTED BY

|                   |                    |                                   |           |           |                 |
|-------------------|--------------------|-----------------------------------|-----------|-----------|-----------------|
| Name (Print/Type) | WINSTON HSU        | Registration No. (Attorney/Agent) | 41,526    | Telephone | 886-2-8923-7350 |
| Signature         | <i>Winston Hsu</i> | Date                              | 7/18/2002 |           |                 |

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